Esophageal pressure measurement

Benefit of measuring esophageal pressure in mechanically ventilated patients

In mechanical ventilation, basic monitoring combines airway pressure and flow. While the titration of ventilator settings based on measurement of airway pressure may be adequate for most mechanically ventilated patient, we know that this is an oversimplified surrogate for the pressure in the two components of the respiratory system, namely the lung and the chest wall. It is now widely accepted that chest wall mechanics can be severely abnormal in critically ill patients (1, 2, 3). As a continuous effort to improve lung protection, the contribution of chest wall mechanics should not be ignored. Consequently, advanced monitoring in mechanical ventilation adds the measurement of esophageal pressure which is considered as a substitute for pleural pressure. Partitioning of lung and chest wall compliance is then possible and is very useful to assess lung recruitability, perform recruitment maneuvers, set PEEP and tidal volume. Esophageal pressure may allow customization of ventilator settings in order to optimize lung recruitment and protective ventilation in mechanically ventilated patients (4).

Contraindications
Use of esophageal catheter is contraindicated in patients with diseases such as esophageal ulcerations, tumors, diverticulitis, bleeding varices, recent esophageal or gastric surgery, sinusitis, epistaxis, or recent nasopharyngeal surgery.

Technique of placement

Preparation
The adult esophageal balloon catheter kit contains an 86 cm closed-end catheter with a 9.5 cm balloon and a stylet together with a pressure extension tube and a 3-way stopcock. An additional extension line and a 3-5 mL syringe are needed. A topical anesthetic (e.g. lidocaine Spray) is required in awake patients (figure 1).

Figure 1: The adult esophageal balloon catheter kit
Connect the extension line to the auxiliary port of the ventilator. Select the display with from top to bottom airway pressure, esophageal pressure, transpulmonary pressure, and flow (figure 2). Check that esophageal pressure is zero on the waveform.

Placement and measurement of esophageal pressure is easier and more accurate in patients in semi-recumbent position.

**Placement**
The catheter has depth marking to aid in positioning the balloon in the lower third of esophagus. The estimated depth in which to place catheter can be measured by the distance from nostril to ear tragus to xyphoid, or calculated as patient’s height x 0.288 in cm (figure 3).

**Step 1**
Select a nostril without obstruction and apply a suitable topical anesthetic if the patient is awake. Apply water soluble lubricant to the distal tip of the catheter.

**Step 2**
With the patient’s head in neutral position or flexed slightly forward, slowly insert the catheter through the nostril and hypopharynx using a gentle advancing motion. If the catheter meets obstruction, do not force the catheter. Remove it and insert it through the other nostril. Gently insert the catheter to the stomach, which is around 15 cm deeper than the estimated depth (figure 4).

**Step 3**
Attach the extension tubing to the Y connector of the stylet. Inflate the balloon with 3 ml of air using the 3-way stopcock, withdrawn 2 ml to leave 1 ml of air in the balloon. Turn the stopcock off to the syringe and open to the extension line.

Check the esophageal pressure measurement on the ventilator. Esophageal pressure should increase during inspiration and should increase during a gentle manual compression of the abdominal left upper quadrant. If esophageal pressure waveform is similar to airway pressure with the same pressures measured during an end-inspiration occlusion, suspect a tracheal placement. Deflate the balloon and remove the catheter. Insert the catheter through the other nostril.
Step 4
Slowly pull out the catheter to the estimated depth. A qualitative change in the esophageal pressure waveform should be seen with appearance of cardiac oscillations. In spontaneously breathing patients, esophageal pressure should be negative during inspiration. In passive patients, esophageal pressure is positive during insufflation (figure 5).

![Figure 5: Placement of esophageal catheter in passive patient. Esophageal pressure increase during insufflation. Small waveforms are cardiac oscillations.](image)

Step 5
When the balloon is in the proper position, disconnect the extension tubing and remove the stylet. Connect the extension tubing directly to the catheter and inflate the balloon again (see step 3). Secure the catheter with tape to prevent motion removal or displacement (figure 6a and 6b). Never attempt to reinsert the stylet once removed.

If esophageal pressure is measured continuously, repeat step 3 every 30 min.

Upon completion of the pressure measurements, deflate the balloon prior to catheter removal.

![Figure 6a: Removal of the stylet and connection to the extension line](image)

![Figure 6b: Secure the catheter with tape](image)
**Trouble shooting**

**Esophageal pressure is similar to airway pressure**
Measure airway and esophageal pressures at the end inspiration and end expiration using end inspiration and end expiration occlusion, respectively. If they are similar, esophageal catheter is probably inserted in the trachea. Deflate the balloon and remove the catheter.

**Pressure waveform is flat on top**
There is probably not enough air in the balloon.

**Pressure waveform is dampened**
There is probably too much air in the balloon.

**There is no pressure waveform**
Check that the connections are adequate. The catheter may need to be advanced further into the esophagus or may be kinked on itself and needs to be withdrawn.

**Verification of the correct position**
Spontaneously breathing patient: The validity of esophageal pressure measurement can be assessed using the dynamic occlusion test procedure. Patients make three to five inspiratory efforts while airways are occluded at the end of expiration. The correct position of the esophageal balloon is ascertained from the high correlation between swings in airway and esophageal pressure during this maximal effort. The acceptable range of delta Pes/ delta Paw during the dynamic occlusion test is from 0.8 to 1.2. (4, 5).
If the patient has no spontaneous ventilation (passive condition), the occlusion test is performed by applying manual compression on the chest during airway occlusion.

**Correction of measured pressure**
Some authors recommend correcting the measured esophageal pressure to take into account the ventral-to-dorsal pleural pressure gradient across the thorax and height of the mediastinum, especially in supine position. The correction can be done by subtracting 5 cmH2O from measured value (6) or by subtracting the esophageal pressure at the end of passive expiration measured after manually disconnecting the patient from the ventilator (7).

**How to interpret esophageal pressure**

Airway pressure is the pressure of the whole respiratory system (lung and chest wall). Esophageal pressure is an assessment of pleural pressure, i.e. the pressure to distend the chest wall. An increase in esophageal pressure means that chest wall compliance is decreased, as a result of intraabdominal hypertension, pleural effusion, masses ascites, thoracic trauma, edema of thoracic and abdominal tissues as a result of fluid resuscitation. Transpulmonary pressure is airway pressure minus esophageal pressure measured during an end-inspiratory or end-expiratory occlusion, and represents the pressure to distend the lung parenchyma.

1. **Assessing lung recruitability using low flow pressure-volume curve**
By using esophageal pressure measurement, low flow pressure-volume (PV) curve can be partitioned into chest wall PV curve and lung PV curve. Lung PV curve is probably more accurate than respiratory system PV curve to assess recruitability. There is probably a large potential for lung recruitability if lung PV curve shows a well defined lower inflection point and a large hysteresis (8, 9) (figure 7, 8, and 9).

![Figure 7: Setting of the pressure-volume curve using PV tool Pro](image-url)
Figure 8a: Respiratory system PV curve using airway pressure in a patient with low potential of recruitability

Figure 8b: Chest wall PV curve using oesophageal pressure in a patient with low potential of recruitability

Figure 8c: Lung PV curve using transpulmonary pressure in early onset ARDS patient. Note the absence of low inflexion point and a narrow hysteresis, meaning that the potential of lung recruitability is probably low. Note also that when airway pressure was increased to 40 cmH2O, transpulmonary pressure was around 25 cmH2O. It means that a recruitment maneuver would potentially harm the lung without benefit in terms of recruitment.

Figure 9a: Respiratory system PV curve using airway pressure in a patient with high potential of recruitability

Figure 9b: Chest wall PV curve using oesophageal pressure in a patient with high potential of recruitability

Figure 9c: Lung PV curve using transpulmonary pressure in early onset ARDS patient. Note the presence of a well defined lower inflexion point and a large hysteresis, meaning that the potential of lung recruitability is probably high. Note also that when airway pressure was increased to 40 cmH2O, transpulmonary pressure was only around 15 cmH2O. This means that if a recruitment maneuver is performed, airway pressure should be set higher than 40 cmH2O.
2. Titrating recruitment maneuver

By using esophageal pressure measurement, the pressure to recruit the lung can be titrated. The goal is to reach a transpulmonary pressure around 25 cmH2O for the recruitment maneuver to fully recruit the lung and prevent excessive overdistension (10) (figure 10 and 11).

**Figure 10:** Settings of recruitment maneuver with the PV tool use a fast pressure increase (ramp speed at 5 cmH2O/s), a pause at high pressure of 10 s, and a higher PEEP after the recruitment maneuver.

**Figure 11a**

From 11a to 11c: Sustained inflation recruitment maneuver performed with the PV tool in early onset ARDS patient (same patient as in figure 9). Airway pressure (11a), esophageal pressure (11b) and transpulmonary pressure (11c) versus volume during the recruitment maneuver. Note that top airway pressure was set at 50 cmH2O in order to have transpulmonary pressure around 25 cmH2O. The duration of recruitment maneuver was 10 seconds. Note the increase in volume on airway and transpulmonary pressure curves (red arrows), which is an assessment of the volume recruited during the recruitment maneuver.

**Figure 11b**

3. Setting PEEP

In ARDS patients, PEEP can be set in order to achieve a transpulmonary pressure of 0 to 10 cmH2O at end expiration using an end-expiration occlusion. The rationale is to prevent atelectrauma caused by repeated opening and closing of distal airways and alveoli. In a randomized controlled physiological study, setting PEEP according to transpulmonary pressure was associated with better oxygenation and respiratory system compliance than using the standard ARDS net PEEP-Fio2 table (11) (figure 12).
4. Setting tidal volume and inspiratory pressures

Transpulmonary pressure at the end-inspiration is measured during an end-inspiration occlusion and assesses the stress applied to the lung. The recommendation is to set tidal volume or inspiratory pressure in order to keep transpulmonary pressure at end-inspiration below 25 cmH2O (12) (figure 13).

Other applications

In spontaneous breathing patients, respiratory muscle effort can be assessed by work of breathing or the esophageal pressure time product. In addition, esophageal pressure measurement is very useful to assess patient-ventilator synchrony in particular auto-triggering, inspiratory trigger delay and ineffective inspiratory effort.

Limitations

An inappropriate volume to inflate the balloon and an inappropriate position of the catheter in the esophagus will lead to inaccurate measurements. In addition, there is a postural effect due mainly to the weight of mediastinum. It is recommended to measure esophageal pressure in semi-recumbent position. Finally, because there is a physiological regional variation in pleural pressure, esophageal pressure estimates the middle pleural pressure.
References


7. Guerin C, Richard JC. Comparison of 2 Correction Methods for Absolute Values of Esophageal Pressure in Subjects With Acute Hypoxemic Respiratory Failure, Mechanically Ventilated in the ICU. Respir Care 2012;57:2045–2051


